#### **UMMG: KNOWLEDGE SHARING SESSION**

# 17th FERCAP INDIA

#### Forum of Ethical Review Committees in Asia-Pacific

(Nov 19-21, 2017)

MBBS(Ygn), MMedSc (Med Juris), DrMedSc (Med Juris) Associate Professor Department of Forensic Medicine

January 30, 2018

Dr Zaw Zaw Oo

University of Medicine Magway

- 18 Myanmar Delegates
- Full funding from Myanmar Research Ethics Program (UMB) USA with the help of the Program Director: Prof Henry Silverman
- Myanmar Research Ethics Initiative Program

www.mm-researchethics.net

hsilverman@som.umaryland.edu

1.	Dr Kyaw Zin Thant	DMR Yangon	
2.	Dr Win Pa Pa Naing		
3.	<b>Dr Khin Hnin Pwint</b>		
4.	Dr Thuzar Hla Shwe		
<b>5.</b>	Prof Myo Thaik Oo	UM1 Yangon	
6.	Prof Ohnmar		
7.	Dr Yan Htoo Aung		
8.	Daw Mi Tin Tin Soe Aye		
9.	Dr Kalaya		
10.	Dr Min Wun*	FDA Yangon	
11.	Dr Zaw Zaw Oo*	UM2 Yangon	
12.	Dr Aye Aye Han	UM Mdy	
13.	<b>Prof Myat Mon Khine</b>	UM Magway	
14.	Dr Latt Latt Win	UoP Ygn	
<b>15.</b>	Dr Khin Thet Wai	UPH	
16.	<b>Rector Khin Thet Wai</b>	UN Mdy	
17.	<b>Rector Sun Sun Win</b>	<b>UDM Mdy</b>	
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**UMT Mdy** 

Rector Mya Mya Aye

18.





#### Myanmar Speakers in 17th FERCAP

#### 1. Dr Min Wun, DD, FDA Yangon

(Research Ethics Fellow, UMB USA)\*

Attitudes and Practices of Myanmar Health Researchers towards Research Ethics

#### 2. Dr Zaw Zaw Oo, AP, Dept of Forensic Medicine, UM2

(Research Ethics Fellow, UMB USA)\*

Structure and Functions of RECs of Medical Institutions in Myanmar

#### **FERCAP: Official Invitation Letter**













Dated: 14th November 2017

#### Patron:

Randeep Guleria Director, AIIMS, New Delhi

#### Advisors:

Balram Airon Dean, AIIMS. New Delhi YK Gupta AIIMS, New Delhi

#### International Advisors

Kenji Hirayama
President, FERCAP
Juntra Laothavorn,
Coordinator, SIDCER
Cristina Torres
Co-ordinator, FERCAP
Arthur Navarro
Research Fellow, FERCAP

#### Organising Committee Chairman

**Upendra Kaul**Batra Hospital and Medical
Research Centre, New Delhi

To,

Dr Zaw Zaw Oo Ministry of Health and Sports, Myanmar

Sub: Invitation for the 17th FERCAP International Conference & 5<sup>th</sup> FERCI National Conference.

Dear Dr Zaw,

We are pleased to announce that the 17<sup>th</sup> FERCAP (Forum for Ethical Review Committees in Asia and the Western Pacific Region) and 5<sup>th</sup> FERCI (Forum for Ethical Review Committees in India) annual conference to be conducted in the month of November 2017, in collaboration with All India Institute of Medical Sciences, Batra Hospital and Medical Research Centre and Fortis Escorts Heart institute. This is the first time FERCAP is holding its conference in India.

We would like to invite you to be the Speaker for the session "Structure and Function of RECs in Medical Institutions in Myanmar" on 20th November 2017, timing will be 16:00-17:30 hrs.









Dr Zaw Zaw Oo, Prof Henry Silverman, Dr Min Wun









### Next FERCAP: 2018 in Taiwan

#### 18<sup>th</sup> FERCAP Annual Conference Announcement



We are pleased to announce that we will be hosting

The 18<sup>th</sup> FERCAP Annual Conference in TAIWAN at the

Lincou Chang Gung Memorial Hospital (CGMH), Taoyuan City, Taiwan

[Dates]

18<sup>th</sup> November, 2018: Pre-Conference

19th - 20th November, 2018: Main Conference

21th November, 2018: General Assembly & Recognition Ceremony

[ Welcome to Taiwan-The Heart of Asia ]

### Self-Assessment of the Structure and Functions of Research Ethics Committees in Myanmar



Zaw Zaw Oo<sup>1</sup>, Yin Thet Nu Oo<sup>2,</sup> Min Wun<sup>2</sup>, Myaing M. Nyunt<sup>3,4</sup>, Henry J. Silverman<sup>4</sup>

University of Medicine 2, Yangon, Ministry of Health and Sports, Myanmar
 Department of Medical Research, Myanmar Ministry of Health and Sports, Myanmar
 Institute for Global Health, University of Maryland School of Medicine, Baltimore, USA
 Department of Medicine, University of Maryland School of Medicine, Baltimore, USA

### **Myanmar REC Survey Study**

#### Objectives

- To assess the organizational structure and functions of RECs in Medical Teaching Institutions in Myanmar
- To provide the basic information regarding the RECs of Medical Institutions in Myanmar

#### Methodology

Study Design Cross-sectional survey using the MERETI self-

administered SAT tool

Study Area 16 Medical and Allied Institutions in Myanmar

Study Population REC Chairpersons, Members and Secretaries

#### **Myanmar RECs Survey with MERETI SAT**

#### **Questions: 10 Domains focusing on:**

- 1. Organization Support
- 2. Membership and Educational Training
- 3. Submission Policy
- 4. Meeting Minutes
- 5. Protocol Review Policy
- 6. Informed Consent Review
- 7. Approval Letter
- 8. Continuing Review Report
- 9. Resources

10. Workload

With all 134 Questions

Maximum Points = 200

#### **Myanmar RECs Survey with MERETI SAT**

#### Method

- Visited 16 RECs in different regions of Myanmar
- Distributed self-administered questionnaire
- Informed consent obtained and confidentiality assured
- Responses collected anonymously
- Response Rate: 100 % (16/16)
- Descriptive statistics

#### Ethics Approval: Approved by

- 1. Myanmar: DMR REC
- 2. USA: UMB REC

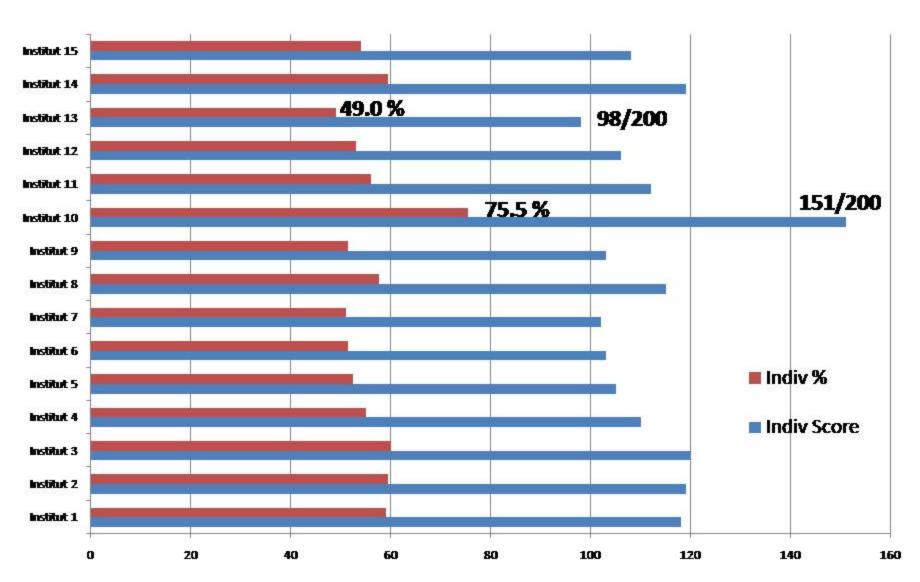
### Results - Demographics (n=16)

Item	Response
Year Established	(1998 – 2005) = 6 (2006 – present) = 10
Frequency of Reviews	Less than 6/year = 8 Greater than 6/year = 6 Once a month = 1 No review yet = 1
Time to review a protocol	Average = 50 – 60 minutes
Types of Policy	SOPS = 2 COI = 1 Subjects file a complaint = 3
Type of Chair	Rector (Dean) = 11 Head of Dept = 1 Emeritus Prof = 2 Retired Prof = 2

### Results - Demographics (n=16)

Item	Response
Membership	Range of Members (7-19) Average No. = 13.6
Gender Balance	W/M > 1.0 = 12 $W/M \le 1.0 = 4$
Membership Type	with Non-affiliated = 12 with Non-Scientist = 11 with Community = 2
Prior Ethics Training	Chairs = 16/16
Policy Requiring Ethics Training	REC members = 3 Investigators = 5 (course)
Resources	Number with budget = 0 Number with Admin = 16

#### Individual Total Scores (n=15)



### Total Scores (n=15)

Country	Average Score	Average Percentage	Average Median
Myanmar	112.6 ± 12.77	<b>56.3 ± 6.38 %</b> (49.0 – 75.5%)	110
South Africa*	128.7 ± 38.7		
Egypt	133.7 ± 37.8		
India	157.5 ± 16.1		
Average	137.4 ± 35.8		145

<sup>\*</sup> Silverman HJ et.al. Results of a self-assessment tool to assess the operational characteristics of research ethics committees in low- and middle-income countries. J Med Ethics. 2015 Apr;41(4):332-7

### Individual Domain Scores (n=15)

Domain Name	Mean Score/Maximum	Mean %
1. Organization Aspect	24.8/52	47.69%
2. Membership and Training	17.9/32	56.03%
3. Submission Policy	9.1/12	76.08%
4. Meeting Minutes	8.5/13	65.13%
5. Review Policy	5.3/11	47.88%
6. Review Items *	36.3/43	84.49 %
7. Approval Letter	0.7/5	13.34%
8. Continuing Review Report *	0/16	00.00%
9. Resources	10/16	62.50%

# Limitation of the survey Self Report

#### Feedback of the survey participants

- More than 95 % agreed that the survey questions adequately reflect the structure and functions of REC.
- Most (14/16) completed the survey in less than 2 hours.
- Requested 'Research Ethics Training program'.

#### Conclusion of the Myanmar REC Survey

- Many have sufficient members other than physicians and scientists with excellent gender balance.
- All Chairs have prior ethics training.
- More than 80% of important items are reviewed.
- Many lack important policies (e.g., SOPs, COI, educational requirement)
- Organizational support needs to be enhanced Funding for education

### **Today Discussion Topics**

- 1. Ethics Review Committees in Myanmar
- 2. Structure and Functions of ERCs in Myanmar
- 3. International Accreditation of ERCs
- 4. Networking of ERCs
- 5. Way Forward for Myanmar ERCs

### 1. ERCs in Myanmar



The first ERC in Myanmar, at DMR in 1980.

The first ERC in Medical University in Myanmar, at UM1 Yangon in 1998.

At the present, there are 18 ERCs in Myanmar.

Only two ERCs: in DMR & DSMRC are with FWA!!

### 2. Structure & Functions of ERCs

#### Structure

- 1. Chair
- 2. Vice-chair
- Members (specialists, consultants, guests)
- 4. Secretary (usually not more > 15 persons)

#### 5. Staff

### **CIOMS Guidelines: 2016**

#### **GUIDELINE 23:**

Requirements for Establishing RECs and for Review of Protocols

All research proposals involving humans must be submitted to a REC to assess their ethical acceptability, unless they qualify for an exemption from ethical review.

The researcher must obtain approval or clearance by such a committee before beginning the research.

The research ethics committee should conduct further reviews as necessary.

### **CIOMS Guidelines: 2016**

#### **GUIDELINE 23: Formation of REC**

#### **RECs must be:**

- 1. formally established with adequate mandate and support
- 2. multidisciplinary membership
- 3. Members duly qualified and regularly update their knowledge of ethical aspects of research
- 4. independent
- should have a clear procedure for researchers or sponsors to make legitimate appeals against the decisions of REC

to ensure timely and competent review according to clear and transparent procedures (REC SOP)

### 2. Structure & Functions of ERCs

#### **Functions**

- 1. Ethics Review of PhD/Doctorate Research Protocols
- 2. Ethics Approval of Research Studies
- 3. Research Monitoring ??

#### **Main Purposes of ERC**

- 1. To promote the Science
- 2. To protect the Human Subjects



#### SIDCER (WHO)

Strategic Initiative for Developing Capacity in Ethical Review

#### AAHRPP (USA )

Association for the Accreditation of Human Research Protection Programs

#### FERCAP (Thailand)

Forum of Ethical Review Committees in Asia-Pacific

#### SIDCER (WHO/Geneva)

Strategic Initiative for Developing Capacity in Ethical Review Network of Regional Fora



#### AAHRPP (USA, LA )

Association for the Accreditation of Human Research Protection Programs



FERCAP (AP Regional Forum/Thailand)



### 4. Networking of ERCs

International Networks
Regional Networks
Local Networks

#### 5. Way Forward for Myanmar ERCs

- 1. Networking of ERCs
- 2. National Ethics Committee
- 3. Capacity Strengthening\*\*
- SOPs & Guidelines for ERCs
- 5. Accreditation of ERCs

#### **Research Ethics Certificates**

#### 1. UMB USA: Research Ethics Intensive Training Course

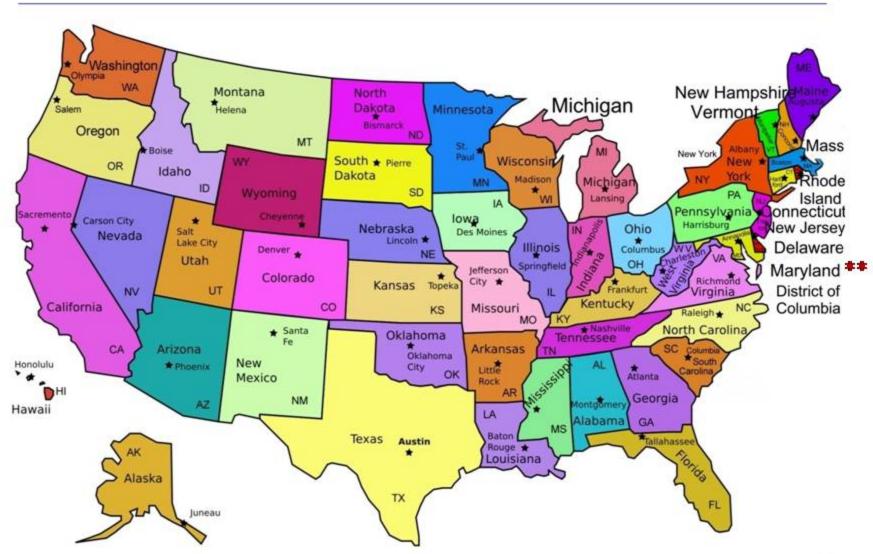
#### three weeks course in Aug, 2015



#### **Research Ethics Certificates**

2. NIH USA: Protecting Human Research Participants
Online course - phrp.nihtraining.com / gcp.nidatraining.org















# with Ms Julie Doherty Director, Quality Management, UMB Office for Human Research Protections



# With the Director of OHRP, Mr Edward Bartlett





## Thank you!!